

A study on minimum Redundancy achived by Huffman Codes

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ABSTRACT

It has been recently proved that the redundancy r of any discrete memory less source satisfies $r \leq 1 - H(P_N)$, Where P_N is the least likely source letter probability.

This bound is achieved only by sources consisting of two letters. We prove a sharper bound if the number of source letters is greater than two. Also provided is a new upper bound on r , in terms of the two least likely source letter probabilities, which improves on a previous results.

1. INTRODUCTION

Let $C = \{x_1, x_2, x_3, \dots, x_n\}$ be a code for source 'S' and let $n_1 \leq n_2 \leq n_3 \dots \leq n_N$ be the code word lengths without the loss of generality we assume that $p_1 \geq p_2 \geq p_3 \dots \geq p_N$. The Huffman encoding algorithm [1952] defined as the difference between the average code word lengths 'L' and the entropy $H(p_1, p_2, p_3, \dots, p_N)$ of the source.

$$r = L - H(p_1, p_2, p_3, \dots, p_N) = \sum_{i=1}^N p_i n_i + \sum_{i=1}^N p_i \log p_i$$

Capocelli and Santis [1989] who proved that as a function of P_N , the redundancy 'r' of Huffman codes is upper bounded by

$$r \leq 1 - H(P_N) \quad \dots 1.1$$

Where H is the binary entropy function and

$$H(p) = -p \log p - (1-p) \log(1-p) \quad \dots 1.2$$

We prove that for $N \geq 3$ the following bound, in terms of the least likely source letter probability, holds :

$$r \leq \begin{cases} 1 - H(2p_N) & \text{if } 0 < p_N \leq \delta \\ 0.5 + 1.5p_N - H(p_N) & \text{if } \delta < p_N \leq 1/3 \end{cases} \quad \dots 1.3$$

where $\delta = 0.1525$. This bound is the best possible expressed only in terms of p_N for every $p_N > 0$ and $N \geq 3$.

Prisco and Santis [1995] defined redundancy 'r' of a source, whose most and least likely source probabilities are respectively p_1 and p_N , is upper bounded by

$$r \leq p_1 + 0.086 - p_N \text{ for } 0 < p_1 \leq 1/6 \quad \dots 1.4$$

$$r \leq 2 - 1.3219(1 - p_1) - H(p_1) - p_N \text{ for } 1/6 < p_1 \leq 0.1971 \quad \dots 1.5$$

$$r \leq 4 - 18.609 p_1 - H(5p_1) - p_N \text{ for } 0.1971 < p_1 \leq 0.2 \quad \dots 1.6$$

$$r \leq 2 - 1.25(1 - p_1) - H(p_1) - p_N \text{ for } 0.2 < p_1 \leq 0.3138 \quad \dots 1.7$$

$$r \leq 3 - (3 + 3 \log 3) p_1 - H(3p_1) - p_N \text{ for } 0.3138 < p_1 \leq 1/3 \quad \dots 1.8$$

$$r \leq 1 - 0.5(1 - p_1) - H(p_1) - 2p_N \text{ for } 1/3 < p_1 \leq 0.4505, N \geq 6 \quad \dots 1.9$$

2. Redundancy of Huffman codes:

Now we define a function of least likely source letter probability when $N=425$ is the form of following theorems are tedious case by case proof indeed we distinguish among all possible length vectors of the Huffman codes and then we proceed in the way similar to Prisco and Santis.

Theorem 2.1

Let $S = (P_1, P_2, P_3, P_4)$ be a discrete source and $p_4 = p_N$ be its least likely source letter probability. The redundancy of the corresponding Huffman code is upper bounded by

$$\left\{ \begin{array}{l} 1+5p_N - H(1-3p_N, p_N, p_N, p_N) \\ \quad \text{if } 0 < p_N \leq \frac{1}{9} \\ 2 - H\left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3} - p_N, \frac{1}{3}\right) \\ \quad \text{if } \frac{1}{9} < p_N \leq \frac{1}{6} \\ 2 - H(2p_N, 1-4p_N, p_N, p_N) \\ \quad \text{if } \frac{1}{6} < p_N \leq \delta_1 \\ 2 - H\left(1 + \frac{p_N}{3}, 1 - 2\frac{p_N}{3}, 1 - 2\frac{p_N}{3}, p_N\right) \\ \quad \text{if } \delta_1 < p_N \leq \frac{1}{5} \\ 2 - H(1-3p_N, p_N, p_N, p_N) \\ \quad \text{if } \frac{1}{5} < p_N \leq \frac{1}{4} \end{array} \right.$$

where $\delta_1 = 0.1708$ is the unique point in the interval $\left[\frac{1}{6}, \frac{1}{5}\right]$ for which function $H(2x, 1-4x, x, x)$ is equal to the function $H\left[\left(1 + \frac{x}{3}\right), \left(1 - 2\frac{x}{3}\right), \left(1 - 2\frac{x}{3}\right), x\right]$. The bound is tight.

Theorem 2.2

Let $S = (P_1, P_2, P_3, P_4, P_5)$ be a discrete source and $P_5 = P_N$ be its least likely source letter probability. The redundancy of the corresponding Huffman code is upper bounded by

$$\left\{ \begin{array}{l} 1+8p_N - H(1-4p_N, p_N, p_N, p_N) \\ \quad \text{if } 0 < p_N \leq \delta_2 \\ \frac{13}{6} + \frac{p_N}{2} - H\left(\frac{1}{3}, \frac{1}{3}, 1 - 3\frac{p_N}{6}, 1 - 3\frac{p_N}{6}, p_N\right) \\ \quad \text{if } \delta_2 < p_N \leq \frac{1}{9} \\ 2+2p_N - H(3p_N, 1-6p_N, p_N, p_N, p_N) \\ \quad \text{if } \frac{1}{9} < p_N \leq \frac{1}{8} \\ 2+2p_N - H\left(1 - 2\frac{p_N}{6}, 1 - 4\frac{p_N}{3}, p_N, p_N, p_N\right) \\ \quad \text{if } \frac{1}{8} < p_N \leq \delta_3 \\ \frac{11}{5} + 4\frac{p_N}{5} - H\left(2\frac{(1-p_N)}{5}, 1 - \frac{p_N}{5}, 1 - \frac{p_N}{5}, p_N\right) \\ \quad \text{if } \delta_3 < p_N \leq \frac{1}{6} \\ 2+2p_N - H(1-4p_N, p_N, p_N, p_N, p_N) \\ \quad \text{if } \frac{1}{6} < p_N \leq \delta_4 \\ \frac{9}{4} + 3\frac{p_N}{4} - H\left(1 - \frac{p_N}{4}, 1 - \frac{p_N}{4}, 1 - \frac{p_N}{4}, 1 - \frac{p_N}{4}, p_N\right) \\ \quad \text{if } \delta_4 < p_N \leq \frac{1}{5} \end{array} \right. \dots 2.2.1$$

where $\delta_1 = 0.078184$ is the unique point in $\left]0, \frac{1}{9}\right[$ for which the function $1+8x-H(1-4x, x, x, x, x)$ is equal to the function $\frac{13}{6} + \frac{x}{2} - H\left(\frac{1}{3}, \frac{1}{3}, 1 - 3\frac{x}{6}, 1 - 3\frac{x}{6}, x\right)$; $\delta_1 = 0.143815$ is the unique point in $\left]1/8, 1/6\right[$ for which the function $2+2x - H\left(\frac{(1-2x)}{2}, \frac{(1-4x)}{2}, x, x, x\right)$ is equal to the function $\frac{11}{5} + 4\frac{x}{5} - H\left(2\frac{(1-x)}{5}, 1 - \frac{x}{5}, 1 - \frac{x}{5}, x\right)$ and $\delta_4 = 0.179669$ is the unique point in

$\left[\frac{1}{6}, \frac{1}{5} \right]$ for which the function $2+2x-H(1-4x,x,x,x)$ is equal to the function $\frac{9}{4}+3x/4-H\left(1-x/4, 1-x/4, 1-x/4, 1-x/4, x\right)$. The bound is tight.

3. Latest Upper Bound of Redundancy :

We give the least upper bound as a function of least likely source letter probability when $N=4$ & 5 . We distinguish among all possible length vectors of Huffman codes and then we proceed in a similar way to presco and Sanits and define as

Theorem 3.1

Let $S = (P_1, P_2, P_3, P_4)$ be a discrete source and $p_4 = p_N$ be its least likely source letter probability. The redundancy of the corresponding Huffman code is upper bounded by

$$r = \begin{cases} 1 + 4p_N - H(1 - 3p_N, p_N, p_N, p_N) & \text{if } 0 < p_N \leq \frac{1}{9} \\ 1.96 - H\left(\frac{1}{4}, \frac{1}{4}, \frac{1}{2} - p_N, p_N\right) & \text{if } \frac{1}{9} < p_N \leq \frac{1}{6} \\ 1.81059 - H(3p_N, 1 - 5p_N, p_N, p_N) & \text{if } \frac{1}{6} < p_N \leq \delta_1 \\ 1.98768 - H\left(\frac{1 + 2p_N}{3}, \frac{1 - 2p_N}{3}, \frac{1 - p_N}{3}, p_N\right) & \text{if } \delta_1 < p_N \leq \frac{1}{5} \\ 2 - H(1 - 3p_N, p_N, p_N, p_N) & \text{if } \frac{1}{5} < p_N \leq \frac{1}{4} \end{cases}$$

where $\delta_1 = 0.1708$ is the unique point in the interval $\left[\frac{1}{6}, \frac{1}{5} \right]$ for which the function $1.81059 - H(3x, 1 - 5x, x, x)$ is equal to the function $1.98768 - H\left(\frac{1 + 2x}{3}, \frac{1 - 2x}{3}, \frac{1 - x}{3}, x\right)$. The bound is tight.

Theorem 3.2

Let $S = (P_1, P_2, P_3, P_4, P_5)$ be a discrete source and $P_5 = P_N$ be its least likely source letter probability The redundancy of the corresponding Huffman code is upper bounded by

$$r \leq \begin{cases} 1.0228 + 3p_N - H\left(1 - 3p_N, \frac{p_N}{2}, \frac{p_N}{2}, p_N, p_N\right) & \text{if } 0 < p_N \leq \delta_2 \\ 2.09563 + \frac{p_N}{3} - H\left(\frac{1}{3}, \frac{1}{3}, 1 - 2\frac{p_N}{6}, 1 - 4\frac{p_N}{6}, p_N\right) & \text{if } \delta_2 < p_N \leq \frac{1}{9} \\ 1.953 + p_N - H(4p_N, 1 - 7p_N, p_N, p_N, p_N) & \text{if } \frac{1}{9} < p_N \leq \frac{1}{8} \\ 2.20742 + \frac{p_N}{25} - H\left(1 - 3\frac{p_N}{3}, 2 - 6\frac{p_N}{3}, p_N, p_N, p_N\right) & \text{if } \frac{1}{8} < p_N \leq \delta_3 \\ \frac{11}{5} + 1.1\frac{p_N}{6} - H\left(2\left(1 - \frac{p_N}{5}\right), 1 - 2\frac{p_N}{5}, 1 - \frac{p_N}{5}, 1 - \frac{p_N}{5}, p_N\right) & \text{if } \delta_3 < p_N \leq \frac{1}{6} \\ 1.967 + \frac{p_N}{2.2} - H\left(1 - 3p_N, \frac{p_N}{2}, \frac{p_N}{2}, p_N, p_N\right) & \text{if } \frac{1}{6} < p_N \leq \delta_4 \\ 2.107547 + \frac{p_N}{2} - H\left(1 - 2\frac{p_N}{6}, 1 - 2\frac{p_N}{6}, 2 - \frac{p_N}{6}, 2 - \frac{p_N}{6}, p_N\right) & \text{if } \delta_4 < p_N \leq \frac{1}{5} \end{cases}$$

Where $\delta_2=0.078184$ is the unique point $\left]0, \frac{1}{9}\right[$ for which the function $1.0228+3x-H\left(1-3x, \frac{x}{2}, \frac{x}{2}, x, x\right)$ is equal to the function $2.09563+\frac{x}{3}-H\left(\frac{1}{3}, \frac{1}{3}, 1-2\frac{x}{6}, 1-4\frac{x}{6}, x\right)$, $\delta_3=0.143815$ is the unique point in $\left]\frac{1}{8}, \frac{1}{6}\right[$ for which the function $2.20742+\frac{x}{25}-H\left(1-3\frac{x}{3}, 2-6\frac{x}{3}, x, x, x\right)$ is equal to the function $\frac{11}{5}+1.1\frac{x}{6}-H\left(\frac{2(1-x)}{5}, 1-2\frac{x}{5}, 1-\frac{x}{5}, 1-\frac{x}{5}, x\right)$ and $\delta_4=0.179669$ is the unique point in $\left]\frac{1}{6}, \frac{1}{5}\right[$ for which the function $1.967+\frac{x}{2.2}-H\left(1-3x, \frac{x}{2}, \frac{x}{2}, x, x\right)$ is equal to the function $2.09563+\frac{x}{3}-H\left(\frac{1}{3}, \frac{1}{3}, 1-2\frac{x}{6}, 1-4\frac{x}{6}, x\right)$ the bound is tight.

4. Conclusion :- The above result is obtain by a study on minimum Redundancy achived by Huffman codes.

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